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University of Houston  
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University of Missouri-Kansas City  
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University of North Texas  
University of Notre Dame*  
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University System of Georgia*  
Vanderbilt University*  
Virginia Commonwealth University  
Virginia Polytechnic Institute and State University*  
VTS, Inc.  
Washington University*  
Wayne State University  
Wesleyan University  
Yale University  

* Denotes charter members

Mission

The Coalition for Networked Information (CNI) is an organization to advance the transformative promise of networked information technology for the advancement of scholarly communication and the enrichment of intellectual productivity.
ABOUT CNI

Background and Leadership

The Coalition for Networked Information (CNI), a joint initiative of the Association of Research Libraries (ARL) and EDUCAUSE, promotes the use of networked information technology to advance research and education. In establishing the Coalition under the leadership of founding Executive Director Paul Evan Peters, these sponsor organizations recognized the need to broaden the community’s thinking beyond issues of network connectivity and bandwidth to encompass networked information content and applications. Reaping the benefits of the Internet for scholarship, research, and education demanded — and continues to demand — new partnerships, new institutional roles, and new technologies and infrastructure. CNI seeks to advance these collaborations, to explore these new roles, and to catalyze the development and deployment of the necessary technology base.

Since its founding in 1990, CNI has addressed a broad and diverse array of issues related to the development and use of networked information in the research and education communities. As the premier organization fostering connections and collaboration between library and information technology communities, we represent the interests of a wide range of member organizations from higher education, publishing, networking and telecommunications, information technology, government agencies, foundations, museums, libraries, and library organizations.

A Task Force of about 200 dues-paying member institutions supports CNI. Membership in the Coalition is open to all organizations — both for-profit and not-for-profit — that share CNI’s commitment to furthering the development of networked information. We view our members as partners in advancing the Coalition’s mission. Fall and spring Task Force meetings are CNI’s flagship events, bringing together hundreds of representatives for a comprehensive update on critical issues.

CNI’s program is guided by a Steering Committee chaired by Richard West of the California State University system. As sponsor organizations, ARL and EDUCAUSE each appoint three representatives to the Steering Committee drawn from their member leadership. Three “at large” representatives on the Steering Committee contribute additional perspectives. The chief executives of ARL, EDUCAUSE, and CNI serve as ex officio members of the committee. CNI’s Executive Director, Clifford Lynch, has led the organization since 1997. Joan Lippincott, CNI’s Associate Executive Director, has served since fall 1990. For more information about the Coalition’s history and contributions, visit our website at http://www.cni.org.

Library and Archives Canada*
Library and Information Technology Association
Library of Congress*
Library of Virginia*
Los Alamos National Laboratory Research Library
Marquette University
Massachusetts Institute of Technology*
Mayo Foundation
McGill University
McMaster University
Metropolitan New York Library Council (METRO)
Miami University of Ohio*
Michigan State University*
Microsoft Corporation
Midwest Instructional Technology Center (MITC)
MINITEX Library Information Network
Mississippi State University
Mitre Corporation
National Agricultural Library*
National Archives and Records Administration
National Institute for Technology and Liberal Education (NITLE)
National Institutes of Health Library
National Library of Australia
National Library of Medicine
New York Public Library - Research Libraries*
New York State Library*
New York University
North Carolina State University
Northeastern University
Northwestern University*
OCLC, Inc.*
Ohio State University*
Ohio University
Oregon State University
Pennsylvania State University*
Portland State University
Princeton University*
Public Library Association
Publishers Licensing Society
Purdue University
Queen’s University
Rhodes College
Rice University*
Rochester Institute of Technology*
Rutgers University*
SilsDyynx Corporation
Southern Illinois University at Carbondale*
Southern Methodist University*
Stanford University*
State & University Library (Aarhus, Denmark)
State University of New York at Albany
State University of New York, System Administration*
State University System of Florida*
Story Boulevard University
Sun Microsystems, Inc.
SURF
Syracuse University*
Temple University
Texas A&M University
Texas Christian University
Texas Tech University
Thomson Scientific
Tufts University
UCAR (University Corporation for Atmospheric Research)
United States Department of Education, National Library of Education
United States Government Printing Office
Université Laval
Universiteit Van Amsterdam
University at Buffalo, State University of New York*
University of Alabama
University of Arizona*
University of Arizona*
University of Arkansas
University of British Columbia
University of California, Berkeley*
University of California, Davis*
University of California, Irvine*
University of California, Los Angeles*
University of California, Merced
University of California, Office of the President*
## Membership List

(As of November 2006)

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## Program Themes

CNI's work is structured around three central themes that we believe are the essential foundations of the vision of advancing scholarship and intellectual productivity:

### Developing and Managing Networked Information Content

The Coalition has played a central role in ensuring that the network richly engages the needs of scholarship, teaching and learning. We bring together many diverse communities that create and manage content, and work with these communities to advance the deployment of networked information resources. CNI also furthers the development of economic, policy, social and legal frameworks to sustain the creation, management, and preservation of networked information and to facilitate its access.

### Transforming Organizations, Professions, and Individuals

The pervasiveness of networked information is transforming institutions, professions, and the practices of learning and scholarship. CNI focuses on the unprecedented need for collaboration among libraries, information technology and instructional technology groups, faculty, museums, archives, university presses, and other units in order to achieve success in this environment. In addition, we promote new alliances and partnerships with publishers, information technology and network service providers, scholarly societies, government, and other sectors. Organizations must develop and share new strategies, policies, and best practices. Professions need to develop new competencies and enter into new dialogues that cross traditional disciplinary boundaries. CNI seeks to facilitate these collaborations and dialogues and to help professions and institutions work together in program strategy formulation.

### Building Technology, Standards, and Infrastructure

The networked information environment relies on the development and deployment of standards and infrastructure components in order to enable the creation, discovery, use, and management of networked information. The ability to use collections of resources in a unified, consistent fashion is essential and requires a continuing focus on interoperability of services. At the same time, promising new technologies need to be explored, assessed and tested, and sometimes adapted to the needs of the CNI community. No one institution acting alone can build the needed infrastructure or explore the full range of new technologies as they become available; it requires a coordinated community-wide effort that also reaches out to other communities, such as the world of e-research. CNI seeks to highlight links between technology and policies at all levels, to offer a context for collaborative experiments and test beds, and to serve as a focal point for sharing knowledge about new technologies.

The specific program initiatives that further CNI's themes evolve from year to year. The initiatives and strategies planned for 2006-2007 are described in the Program Plan portion of this
publication; most build upon and continue efforts already underway. Many of the initiatives seek to make strategic progress relevant to more than one theme.

It is important to recognize that the networked information environment is still changing rapidly. CNI is continually adapting its activities in response to new developments and opportunities. Indeed, the Coalition believes agility is essential in the current environment and invites a continuous dialogue with its members on the need for additional program initiatives. Because of this, the 2006-2007 Program Plan should be viewed as a snapshot of our thinking about priorities and opportunities as of late 2006 that will inevitably develop further during the coming year.

Policy and Consultative Activities

In addition to specific initiatives to address CNI’s overarching program themes, the Coalition actively conducts an ongoing program of collaboration and advocacy to advance the development of networked information and its role in transforming organizations and scholarly activities. This is accomplished through our participation in the ongoing scholarly dialogue; through contributions to standards efforts and standards organizations; through collaboration with key funding agencies, such as the National Science Foundation, the Institute of Museum and Library Services, the National Endowment for the Humanities, the Department of Education, and The Andrew W. Mellon Foundation; and through participation in organizations such as the Internet Society.

Of particular note in this area are our contributions to the Library of Congress’s efforts to map out a National Digital Preservation Program, to various studies and programs conducted by the U.S. National Research Council, and more recently, to the Commission on Cyberinfrastructure for the Humanities and Social Sciences, established by the American Council of Learned Societies (ACLS).

As a contributor and participant within a complex ecosystem of organizations that share common interests, CNI works with Internet2 on advanced networking applications and standards; with the IMS Global Learning Consortium on interoperability between learning management systems and digital libraries; with the Council on Library and Information Resources (CLIIR) on scholarly communication and preservation issues; and with the Digital Library Federation on standards and technical issues in digital libraries. CNI works with the American Library Association (ALA) on policy and professional development activities. Our contributions extend to the programs of our sponsor organizations, ARL and EDUCAUSE, particularly to the EDUCAUSE Learning Initiative (ELI) and Net@edu.

On an international level, we collaborate with other organizations concerned with networked information, including the U.K. Office for Library Networking (UKOLN) and the Joint Information Systems Committee (JISC) in the United Kingdom, the German Initiative for Networked Information (DINI), the German Research Foundation (DFG), and the SURF Foundation, the Dutch higher

RECENT PUBLICATIONS BY CNI STAFF


Most publications, as well as selected presentations and interviews, are available online via http://www.cni.org/publications.
Coalition for Networked Information • 2006-2007

• Institutional Infrastructure to Support Research

There is a renewed focus on campus infrastructure to support research programs. Developments include: policy, technical and economic influences that are leading to a partial re-centralization of computing functions; radically new high performance network and distributed computing technologies; a rethinking of storage functionality and economics; requirements for long-term data management, curation and preservation; and growing faculty demands for informatics support services. An additional dimension of these needs involves information and technology intensive collaborations among groups at multiple campuses (sometimes characterized as collaboratories or virtual organizations). CNI, working closely with EDUCAUSE, is trying to understand these trends, and particularly their implications for the development of new collaborations involving libraries and information technology units.

• Authentication, Authorization and Access Management

The Coalition takes a broad view of security, integrity and access management issues as they relate to the management of licensed resources and the stewardship and preservation of digital content. New technological capabilities — peer-to-peer resource sharing and the ability for users to amass and maintain massive personal digital libraries which include large amounts of copyrighted material drawn from licensed databases — continue to raise complex questions with both technological and policy dimensions. CNI believes that we must continue to explore these new behaviors and practices and to reflect this broad view in the focus on systems and network security within the higher education community.

Authentication and authorization are now established as essential infrastructure components for network-based services and have become a particularly critical need as institutions increasingly rely on site license agreements with information providers, implement online and distance education initiatives, and form consortia for resource sharing or educational initiatives. The Coalition has been pursuing a program to define technology approaches, standards, best practices and policy and business issues for such an inter-organizational authentication and authorization infrastructure, and to help early adopter Task Force member organizations structure pilot projects, explore interoperability issues and share implementation experiences. Working in partnership with Internet2, EDUCAUSE’s Net@edu program, and the Digital Library Federation, we continue to seek to advance progress in this area. Of particular interest is the ongoing development and deployment effort surrounding the Shibboleth distributed authorization system and related technologies and organizational issues, federated identity initiatives, functional requirements and policy issues surrounding access management for digital content, and public key infrastructure.

In the 2006-2007 program year, we will also do some initial exploration of the potential future convergence or at least linkage between identities as established by campus-based identity management systems on one hand, and personal names as used in the context of scholarly communication, citation, and bibliographic control and name authority on the other. Historically, these two worlds have been completely separate, but the emergence of sophisticated author rights retention strategies, institutional repositories, and similar developments are clearly bringing them into closer alignment.

education and research partnership organization for network services and information and communications technology.

CNI works to provide our community with frameworks for understanding key networked information issues so that institutions can develop strategies to address these issues on the local, regional, or national level. We write white papers and articles, present talks at conferences, and make institutional visits, which may involve meetings with campus leaders and presentations at public events and seminars.

The Coalition also contributes to the development of the networked information community by acting as a distribution point for materials via its Web site, the CNI-ANNOUNCE e-mail list, and the CNI RSS news feed.

Meetings

The Coalition’s semiannual Task Force meetings, scheduled for December 4-5, 2006, in Washington, DC, and April 16-17, 2007, in Phoenix, AZ, allow CNI to highlight activities related to its program themes, focus attention on significant new thinking and technology developments, and provide opportunities for members to showcase and discuss a wide range of emerging issues and developments in networked information. Some participants have developed knowledge communities within CNI and use the meetings as an opportunity to share ideas on a particular aspect of networked information and incubate new initiatives. Each member organization is invited to send two delegates, typically a senior information technologist and a senior librarian. Meeting participants are introduced to new developments that may reshape institutional plans in a forum that encourages collaborations and dialogues with others who share common interests. The meetings provide an opportunity for representatives to receive briefings on current network topics, to learn about specific projects and emerging issues, and to provide suggestions on directions for Coalition initiatives.

CNI regularly co-sponsors a conference in partnership with JISC and UKOLN as part of our ongoing collaboration with these programs. The next conference will be in 2008. The previous conference, Envisioning Future Challenges in Networked Information, was held July 6-7, 2006, in York, England.

CNI occasionally convenes invitations or public workshops to advance specific elements of its program plan. On October 20, 2006, ARL, CNI, and SPARC (the Scholarly Publishing and Academic Resources Coalition) co-sponsored the forum Improving Access to Publicly Funded Research: Policy Issues and Practical Strategies in Washington, DC. In addition, CNI acts as a co-sponsor for other meetings relevant to the CNI agenda. This year these events include the European Conference on Research and Advanced Technology for Digital Libraries in Alicante, Spain, September 17-22, 2006; Open Repositories 2007 in San Antonio, Texas, January 23-26; the EDUCAUSE Policy Conference, to be held in Arlington, Virginia, May 16-17, 2007; the IS&T

first day of the Task Force meeting. Past topics have included institutional repositories, learning management system strategies, identity management, learning spaces, funding of innovation, and infrastructure to support research (which invited chief research officers along with the usual roundtable representatives from libraries and information technology). The fall 2006 Executive Roundtable will focus on campus planning strategies for institutional cyberinfrastructure. The spring 2007 Roundtable is expected to focus on implications for central organizations of the diffusion of information technology and informatics support across campus.

Building Technology, Standards and Infrastructure

CNI continues to be actively engaged in key areas of standards and infrastructure development. The Coalition is particularly concerned with facilitating the difficult and delicate transition of standards and technologies into operational infrastructure for the research, higher education and library communities.

In addition to the specific program initiatives described here, the Coalition participates in and tracks a wide range of developments in areas as diverse as identifiers, digital books, metadata standards, distributed and federated network services, harvesting technologies, recommender systems and personalization technologies. We also continue to be particularly interested in how learning management systems can be most effectively integrated into a broader information resource environment, and to collaborate with efforts such as the IMS Global Learning Consortium and the Sakai project in these areas.

As we look at an evolving landscape that includes commercial web search engines, traditional library automation tools such as online catalogs, stand-alone abstracting and indexing databases, systems deployed by scholarly publishers, museums, and other content providers, and learning management systems, the Coalition is concerned with architectural and standards frameworks that can facilitate integration and interoperability. This perspective has motivated much of our work over the last few years on institutional repositories, the Open Archives Initiative, and learning management systems.

Open Archives Initiative Object Reuse and Exchange Program

In the spring of 2006, CNI joined with Microsoft, the Andrew W. Mellon Foundation, DLF, and JISC to sponsor a workshop on repository interoperability issues. Building on the outcome of this meeting, the Mellon Foundation has funded a major effort led by Carl Lagoze and Herbert Van de Sompel to develop, validate and begin the deployment of object reuse and exchange standards. This effort, which builds upon and complements earlier work on the Open Archives Protocol for Metadata Harvesting, will run through late 2008, and CNI and our community will be involved both as a source of input and guidance in the development of the standards, and later as part of the dissemination, review, and deployment strategy for the output of the work.
• Learning Spaces — Services and Environments for Today’s Users

Many educational institutions are offering public service points or facilities where library and information technology staff share responsibilities to serve users; sometimes these facilities incorporate teaching and learning centers that bring together instructional technologists, faculty, information technologists and librarians. Often these service points and centers are developed in conjunction with building renovations, expansions, or new building projects. CNI seeks to share experiences of institutions’ projects, to assist institutions and to abstract out best practices from the community’s work.

CNI’s work focuses on learning spaces that have a particular connection with information organizations and professionals, such as libraries, computing centers, multi-media centers and centers for teaching and learning. Such learning spaces may incorporate formal classroom spaces but classrooms are not our primary focus. CNI also highlights learning spaces that have a cross-sector component, such as multi-media studios or information commons administered or staffed jointly by the library and information technology groups.

In 2006-2007 we will continue to feature our work on learning spaces, partnering with other organizations such as the EDUCAUSE Learning Initiative (EUI) and the National Institute for Technology and Liberal Education (NITLE), and working closely with the Association of Research Libraries initiative on the role of research libraries in teaching and research. We will offer sessions at several national meetings, including an ARL-sponsored assessment conference, where we will present our ongoing work on developing a framework for the assessment of learning spaces. We will also explore such topics as linking the services offered in these facilities more closely to the teaching and learning program of the institution and developing services that have both in-person and virtual components.

• Risk Management Implications of Digital Content

The wide-scale adoption of networked information services and shift to digital content raises a set of new questions about risk management and business continuity planning for libraries and higher education institutions, connecting developments in new business models for scholarly publishing, security issues and questions about digital preservation strategies to institutional strategic planning in new ways. The catastrophic hurricanes of fall 2005 have given new urgency and depth to these questions. CNI continues to probe this new and poorly explored territory and expects to prepare an EDUCAUSE ECAR Bulletin in the coming program year.

• Executive Roundtable

At the Fall 2003 Task Force meeting, CNI launched the Executive Roundtable, a new program, which builds on the theme of collaboration between librarians and information technologists that has been at the foundation of the Coalition. The Executive Roundtable assembles executive teams (usually the chief librarian and chief information technology officer) from about ten institutions for a focused two-to-three hour discussion of a specific topic of interest on the morning of the

PROGRAM PLAN 2006-2007

Developing and Managing Networked Information Content

The Coalition has broad interests across all forms of digital content that can be used to support research and education. We provide a forum for information on leading projects in this arena, including a showcase at the CNI member meetings for innovative faculty projects from our member institutions. In addition, we track developments and promote strategies for the creation of digital collections, digital libraries, and federated services in support of digital content. Further, because digital content cannot be divorced from the processes of teaching, learning, and scholarship that both create and rely upon that content, CNI is deeply involved in issues involving changing practices of scholarship, the restructuring of scholarly publishing and the broader transformation of scholarly communication, and innovation in teaching and learning. Through our membership meetings, specialized conferences and workshops, collaborative initiatives with other organizations, and publications, we provide leadership on digital content policy and scholarly communication.

• Institutional Content Resources and Repositories

A centerpiece of CNI’s work on networked information is built around the broad theme of the stewardship of institutional content resources — materials created by members of the institutional community, or that document the work, processes or intellectual and cultural life of an institution. The practice of such stewardship, which includes management, preservation, and access, is a central role for higher education and cultural memory organizations in the digital age. Our work here has two major components. One is to understand and help to advance and structure the wealth of new digital content. The program includes our continuing efforts to understand and highlight experiments in the creation of new types of scholarly works for the digital medium, such as successors to the scholarly print monograph or the development of electronic theses and dissertations; the implications of mass digitization of materials to support scholarship; and the availability of digital surrogates for existing collections of physical materials. The second major effort focuses on approaches to manage the wealth of new content through development of strategies such as the deployment of institutional repositories. Here CNI is addressing the full range of issues from policy and strategic planning through system architecture and standards for the management of complex digital objects (for example, the Metadata Encoding and Transmission Standard [METS] effort, approaches to the documentation of rights associated with content objects, or standards and architectural models for object reuse and exchange).

In 2006-2007, as well as continuing our focus on institutional repositories, we will be working to better understand how a variety of institutional units, including university museums, archives, and audio and video production groups, can leverage institutional infrastructure and build collaborations to make their content resources more accessible for scholarship, teaching and learning. We will also explore ways in which institutional strategies and systems need to connect to national and disciplinary-level data management and curation activities (such as
Connecting our work in e-research directly to our program focus on institutional content resources, CNI will continue to examine institutional policy and planning implications of cyberinfrastructure initiatives in both the sciences and humanities, and consider how these can complement disciplinary-based activities.

• Digital Preservation

Closely related to the programmatic focus on stewardship of institutional content resources is the Coalition's continuing work on more broadly based preservation of digital content. This is a central issue not only in the shift to network-based scholarly communication, but also in ensuring the continuity of cultural and scholarly memory in the digital age. It also continues to emerge as a fundamental social and public policy issue with wide-reaching implications. CNI works closely with ARL, DLF, CNI, the Andrew W. Mellon Foundation, the Library of Congress National Digital Information Infrastructure and Preservation Program (NDIIPP), JSTOR, and RLG Programs at OCLC on the full range of technical, economic, and strategy issues surrounding digital preservation. One focal issue that will receive continued attention is systemic strategies for preserving scholarly journals in digital form. Digital preservation progress will continue to receive extensive coverage at the CNI Task Force meetings.

Transforming Organizations, Professions and Individuals

The Coalition has a longstanding commitment to highlighting and advancing organizational initiatives that facilitate collaborations across institutional units and professional cultures, with particular emphasis on collaboration between librarians and information technologists. We have also done extensive work in evaluation and assessment strategies, recognizing the continuing need to understand the effects and contributions of advanced information technology and digital content.

A cross-cutting theme informing our work on teaching and learning in recent years has been understanding the ever-increasing population of students who have grown up with computer and information technologies as part of their lives. While these students are often described as very different from older generations in their use of technology, many of the characteristics of their uses of information and technology — such as multi-tasking, active learning, working in groups, and production, not just consumption, of digital resources — have also been incorporated into the lives of most adult professionals. We help institutions understand the need to reconfigure some of their services and their physical and virtual spaces to reflect the ways in which students and faculty work with technology and information today. As both students and faculty increasingly produce new digital information, sometimes incorporating parts of others’ work and often in complex social software contexts, they have a pressing need to understand a wide range of issues including format standards, metadata, intellectual property, privacy, and preservation.

those developing through the e-research initiatives described below), and some of the inter-institutional issues that arise from large scale research collaborations and virtual organizations.

• Institutional and Disciplinary Implications of E-Research

The Coalition has long been engaged in efforts to chart, understand and facilitate the transformation of scholarly practice through the use of digital content and advanced information technology as part of its fundamental mission. In the arts and humanities, CNI, in collaboration with partners such as the J. Paul Getty Trust, the American Council of Learned Societies (ACLS), the National Research Council (NRC) and ARL, has provided leadership in computing and the humanities and outreach to build collaborations with the museum community. In the sciences and engineering, CNI has recently been heavily involved in helping the higher education and library communities understand and frame emerging issues in cyberinfrastructure and e-science, with a primary focus on data sharing and data curation issues.

There are several key developments that will shape much of CNI’s work in 2006-2007. The first is the release of the final report of the ACLS Commission on Cyberinfrastructure and the Humanities; at the time of this report a range of efforts to advance the recommendations in the report. This will build upon CNI’s work in promoting the dissemination and discussion of the draft report in the 2005-2006 program year. The second set of developments deals with the management, curation, preservation and dissemination of datasets produced by scholarly work; perhaps the most important initiatives here are being driven by the United States National Science Foundation Cyberinfrastructure program. Of central importance are the evolving roles and relationships of disciplinary and institutionally based data management strategies, and we will continue examining experience with disciplinary data archives to inform this question. Also key are the changing roles of libraries and other organizations in meeting data curation needs; CNI was active in the work around the September 26-27, 2006 ARL/NSF Workshop New Collaborations: the Role of Academic Libraries in the Digital Data Universe, and we have also recently begun to explore workforce issues in this area.

CNI is concerned with questions about availability of data related to scholarly work, and has been engaged in a number of discussions around open access, open science, and open data as they relate to this question, as well as discussions about disciplinary norms for data sharing. Along with ARL, the Association of American Universities (AAU), SPARC, and the National Association of State Universities and Land-Grant Colleges (NASULGC), CNI served as a co-sponsor of the recent symposium Improving Public Access to Publicly Funded Research: Policy Issues and Practical Strategies on October 20, 2006. We will also continue to explore the ways in which data and computationally intensive scholarship are altering the nature of scholarly communication; the issues here include the legal and technical barriers to large-scale text and data mining; appropriate organizational, policy and technical strategies for linking articles and underlying data; and ways to construct scholarly works that are amenable to various combinations of human and machine use.