ETD Survey: Baseline Data

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Demographics

n = 161

Location of Participating Institutions

- International: 27%
- West: 17%
- South: 22%
- Midwest: 17%
- North: 17%

Institutions with ETD Programs

- Yes
  - International: 46
  - West: 32
  - Midwest: 32
  - South: 42
  - North: 32

- No
  - International: 2
  - West: 2
  - Midwest: 1
  - South: 1
  - North: 0

- No, but
  - International: 5
  - West: 0
  - Midwest: 0
  - South: 0
  - North: 3
How do institutions manage their ETDs?

- Campus Repository: 66%
- External Vendor: 13%
- External State Rep.: 8%
- Other: 13%

Who oversees the campus repository or ETD database?

- Library: 90%
- Office of Research: 4%
- Campus IT: 1%
- Other: 5%
Software Most Used by Institutions

- BePress: Digital Commons: 12%
- DSPace: 4%
- Eprints: 2%
- Ex Libris: DigiTool: 12%
- VTLS: Vital: 12%
- ETD-db: 43%
- Locally Developed
- CONTENTdm: 8%
- Other: 4%
Do you report ETD usage statistics on your web site?

- Yes
- Looking into it
- No
Are your institution’s ETDs publically available?

- None are OA
- Some are OA
- All are OA

<table>
<thead>
<tr>
<th>Percentage</th>
<th>I’nat’l</th>
<th>US</th>
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<tbody>
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I’nat’l: International
US: United States
Does your institution temporarily limit ETDs to university-only access?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>I'nat'l</td>
<td>US</td>
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Bar chart showing the distribution of responses.
Why does your institution limit ETDs to university-only access?

[Bar chart showing reasons for limiting ETD access, with categories such as Authors, Publishing, Patent, Faculty, Copyright, Creative Works, Uncomfortable, Other, Sensitive/confidential, Mandate/Policy, with corresponding percentages for US and International]
Does your institution have embargoed ETDs?

- None embargoed
- Some embargoed
- All embargoed
Why does your institution embargo ETDs?

![Bar chart showing reasons for embargoing ETDs]

- Copyright
- Creative works
- Faculty concerns
- Sensitive/confidentiality
- Patent concerns
- Publishing issues
- Authors' wishes

US vs International (I'nat'l)
Does your institution have a “preservation plan” for its ETDs?

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<thead>
<tr>
<th></th>
<th>2013</th>
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<tbody>
<tr>
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<tr>
<td>2008</td>
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General Comments

• “Institutional lethargy/fear/lack of understanding how technically easy this is”
• “Challenges are both technical and administrative”
• “OA is an ongoing area of real confusion and lack of consensus on this campus”
• “We are beginning to question the practice of automatically sending full text to ProQuest.”