

Investigating Reference Rot in Web-Based Scholarly Communication

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http://hiberlink.org #hiberlink http://mementoweb.org #memento

Hiberlink is funded by the Andrew W. Mellon Foundation

## Hiberlink Project Partners

- Los Alamos National Laboratory:
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- University of Edinburgh:
  - <u>Edina</u>: Peter Burnhill, Neil Mayo, Muriel Mewissen, Christine Rees, Tim Stickland, Riachard Wincewicz
  - <u>Language Technology Group</u>: Beatrice Alex, Claire Grover, Richard Tobin, Ke "Adam" Zhou
- Funding: Andrew W. Mellon Foundation







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- Secondary datasets: Ex Libris, MS Academic, SerialsSolutions
- Technology support: CrossRef Labs, CrossRef Prospect, Elsevier
- Liaisons: archive.is, CrossRef, Internet Archive, Old Dominion
   University Web Science & Digital Library Research Group, perma.cc









## Reference Rot







#### **Problem Domain**

- Web-based scholarly communication links to, references, Web resources:
  - Formal citing of scholarly resources
  - Referencing "Web at Large" resources needed or created in research activities e.g. project websites, software, ontologies, workflows, online debate, slides, blogs, videos, etc.







#### **Problem Domain**

- Links to web resources are subject to <u>Reference Rot</u>:
  - Link Rot: Link stops working, e.g. HTTP 404
  - Content Decay: Linked content changes over time







	To Scholarly Resources	To Web at Large Resources
Link Rot		
<b>Content Decay</b>		

an increasingly blurry boundary







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>		







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	

1

There are issues here too, see



David Rosenthal blog post http://blog.dshr.org/2013/11/patio-perspectives-at-anadp-ii.html







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	









## References to "Web at Large" Resources

- Hiberlink focuses on the wide variety of web resources needed or created in research activities
- These resources:
  - Are not necessarily under the custodianship of a party that cares about long term integrity, access
  - Do not necessarily have the same sense of fixity that e.g. journal articles have
- Reference Rot makes it impossible to adequately recreate the temporal context for scholarly discourse







#### D-Lib Magazine September 2004

Volume 10 Number 9

ISSN 1082-9873

#### **Rethinking Scholarly Communication**

#### **Building the System that Scholars Deserve**

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Herbert Van de Sompel, et al. (2004) http://dx.doi.org/10.1045/september2004-vandesompel







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**Archived** 

**Exist** 

Brody, T., Kampa, S., Harnad, S., Carr, L. and Hitchcock, S. 2003. Digitometric Services for Open Archives Environments. In Proceedings of European Conference on Digital Libraries 2003, pages pp. 207-220, Trondheim, Norway. <a href="http://eprints.ecs.soton.ac.uk/archive/00007503/">http://eprints.ecs.soton.ac.uk/archive/00007503/</a>>.

**Archived** 

Frey, J., De Roure, D. and Carr, L. 2002. Publication at Source: Scientific Communication from a Publication Web to a Data Grid. <a href="http://eprints.ecs.soton.ac.uk/archive/00007852/">http://eprints.ecs.soton.ac.uk/archive/00007852/</a>>.

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Volume 9, Issue 10. <doi:10.1045/october2003-henry>.

!Exist

Lynch, C. 2003. Institutional Repositories: Essential Infrastructure for Scholarship in the Digital Age. ARL Bimonthly Report 226. February 2003, <a href="http://www.arl.org/newsltr/226/ir.html">http://www.arl.org/newsltr/226/ir.html</a>.

**Archived** 

!Exist

Payette, S., and Staples, T. 2002. The Mellon Fedora Project: Digital Library Architecture Meets XML and Web Services. European Conference on Research and Advanced Technology for Digital Libraries, Rome, Italy, September 2002. <a href="http://www.fedora.info/documents/ecdl2002final.pdf">http://www.fedora.info/documents/ecdl2002final.pdf</a>>.

!Archived

Pöschl, U. 2004. Interactive Journal Concept for Improved Scientific Publishing and Quality Assurance. Learned Information, Volume 17, Number 2, pp 105-113. <doi:10.1087/095315104322958481>.

Reich, V. and Rosenthal, D. 2001. LOCKSS: A Permanent Web Publishing and Access System. D-Lib Magazine, Volume 7, Issue 6. <doi:10.1045/june2001-reich>.

**Exist** 

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**Archived** 





Herbert Van de Sompel, Martin Klein – Hiberlink CNI Fall 2013, Washington, DC, December 9 2013



## Hiberlink: Investigating Reference Rot

- Hiberlink explores references to Web at Large resources:
  - Quantifies Reference Rot
  - Explores potential solutions to Reference Rot
  - Focuses on links in electronic journal articles
    - But has the big picture in mind: dynamic, interdependent, web-based scholarly assets
      - See Herbert Van de Sompel, From the Version of Record to a Version of the Record, CNI Spring 2013 plenary talk - http://www.youtube.com/watch?v=fhrGS-QbNVA







	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	

1

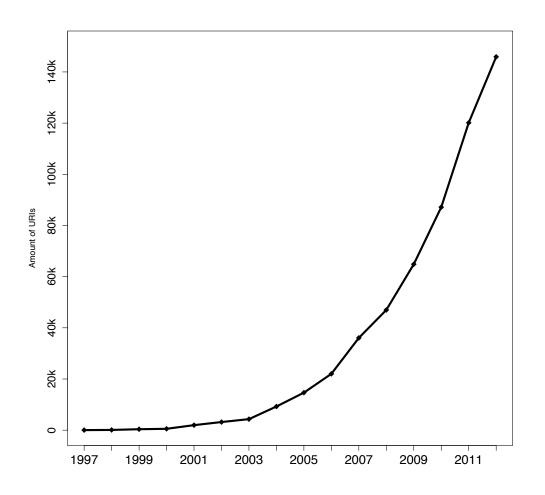
Is it worth our time to study this?







## Articles Increasingly Link to Web Resources









#### The New York Times Cares

SIDEBAR

#### In Supreme Court Opinions, Web Links to Nowhere

By ADAM LIPTAK
Published: September 23, 2013

WASHINGTON — Supreme Court opinions have come down with a bad case of link rot. According to <u>a new study</u>, 49 percent of the hyperlinks in Supreme Court decisions no longer work.



Stephan Savoia/Associated Press Justice Samuel A. Alito Jr.

This can sometimes be amusing. A link in a 2011 Supreme Court opinion about violent video games by Justice Samuel A. Alito Jr. now leads to a mischievous error message.

**FACEBOOK** 

TWITTER

GOOGLE+

⋈ E-MAIL

+ SHARE

₽ PRINT

REPRINTS

"Aren't you glad you didn't cite to this Web page?" it asks. "If you had, like Justice Alito did, the original content would have long since disappeared and someone else might have come along and purchased the domain in order to make a comment about the transience of linked information in the Internet age."

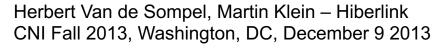
The prankster has a point. The modern Supreme Court opinion is increasingly built on sand.

Hyperlinks are a huge and welcome convenience, of course, said <u>Jonathan Zittrain</u>, who teaches law and computer science at Harvard and who prepared the study with <u>Kendra Albert</u>, a law student there. "Things are readily accessible," he said, "until they aren't."

http://www.nytimes.com/2013/09/24/us/politics/in-supreme-court-opinions-clicks-that-lead-nowhere.html











# Quantifying Reference Rot







## Quantifying Reference Rot

- Reference Rot has been studied before:
  - For the web at large
  - For scholarly communication
  - For government documents
- What is different with Hiberlink?
  - Investigates Reference Rot not just link rot, i.e. includes the aspect of changing content not just rotting links
  - Investigates coverage of referenced resources in web archives
  - Operates at a massive scale regarding number of journal articles, referenced URIs, web archive lookups







STUDY Author (Date)	Year of Publication of Citations	# URIs	#URIs looked up in web archives
Lawrence (2001)	1993-1999	67,577	-
Casserly (2003)	1999-2000	500	500
Casserly (2007)	1999-2000	500	500
Rumsey (2002)	1997-2001	3,406	-
Davis (2002)	1999-2001	688	-
Wren (2004)	1994-2002	1,630	-
Sellitto (2005)	1995-2003	1,043	-
Goh (2005)	1997-2003	2,516	-
Dimitrova (2007)	2000-2003	1,126	-
McCown (2005)	1995-2004	4,387	-
Wagner (2009)	2002-2004	2,011	2.011
Parker (2007)	2002-2005	1,229	-
Duda (2008)	1997-2005	2,100	-
Falagas (2007)	2003-2006	1,417	-
Russell (2008)	1999-2006	510	-
Wren (2008)	1994-2007	6,154	-
Moghaddam (2010)	1995-2008	1,761	1,761
Sanderson (2011)	1993-2010	162,052	162,052

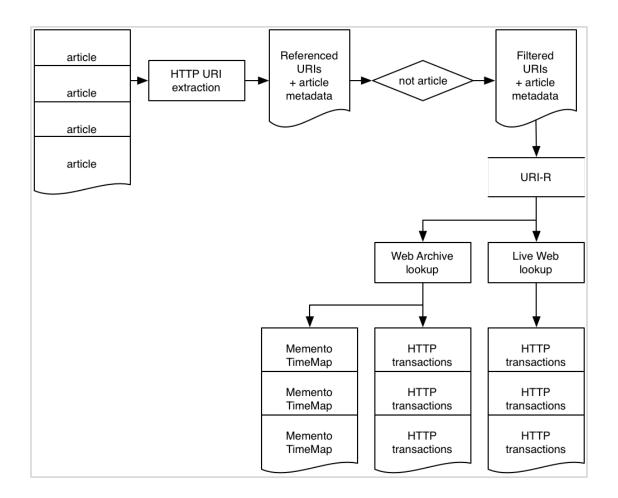
Sanderson, R., Phillips, M., and Van de Sompel, H. (2011) http://arxiv.org/abs/1105.3459







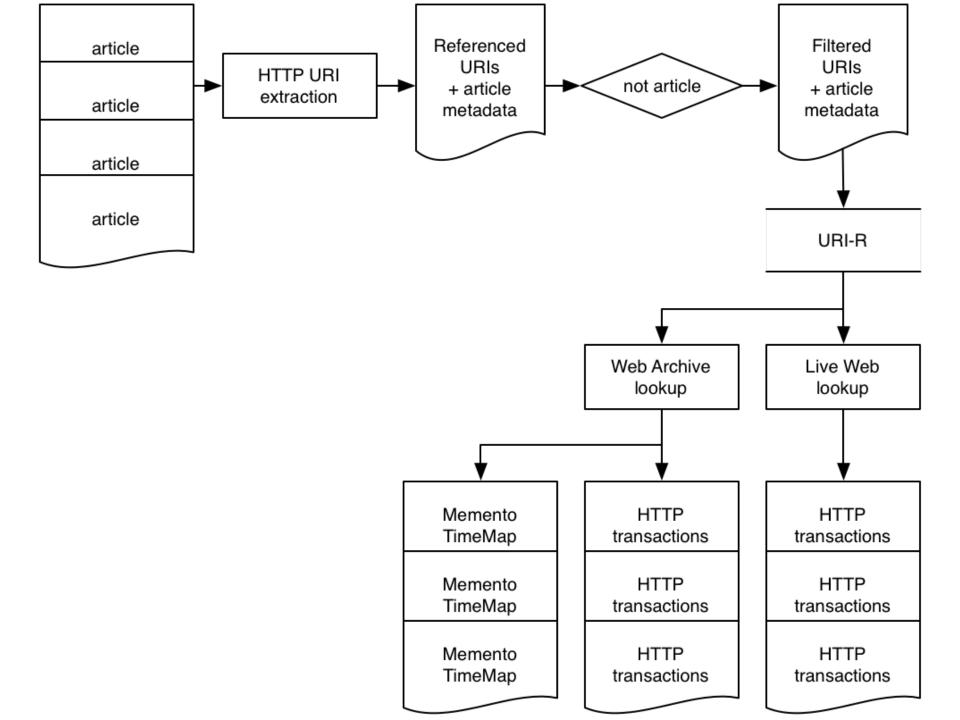
## Quantifying Reference Rot - Methodology

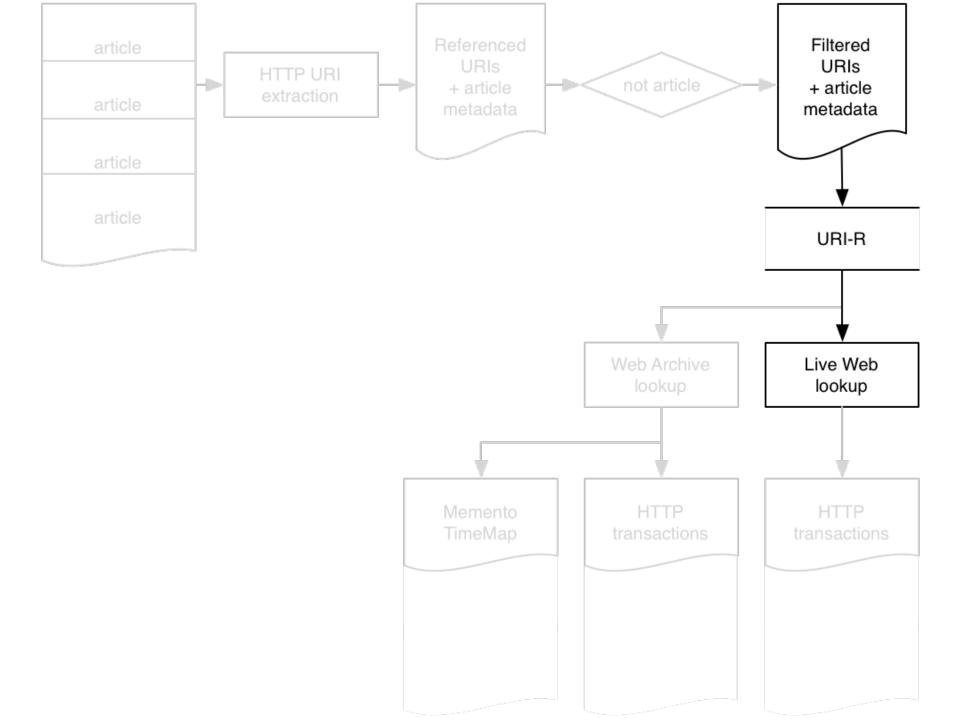


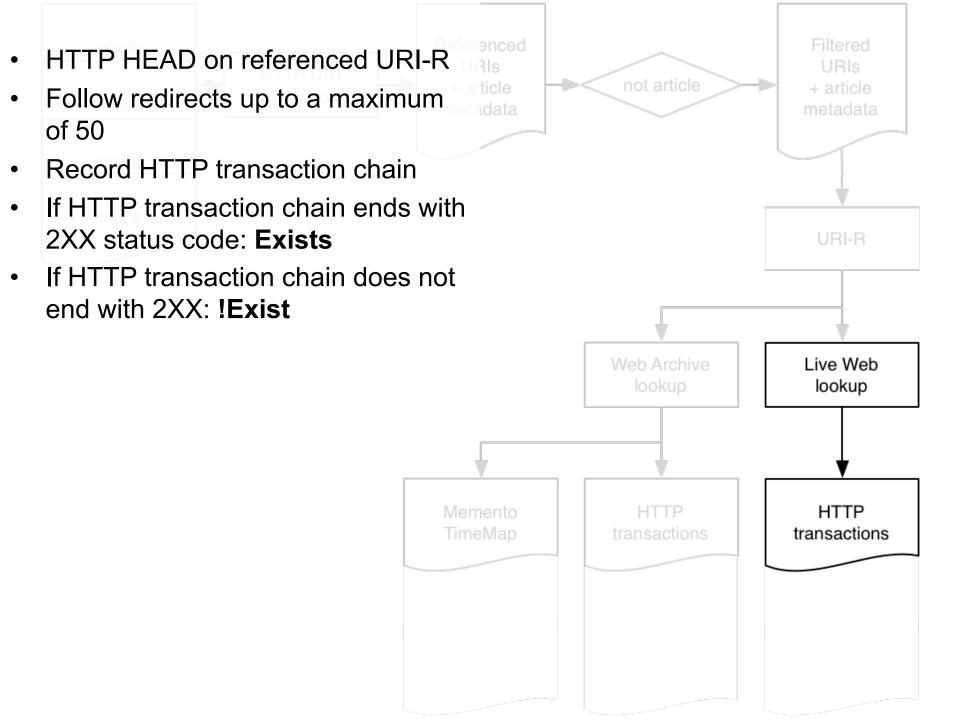


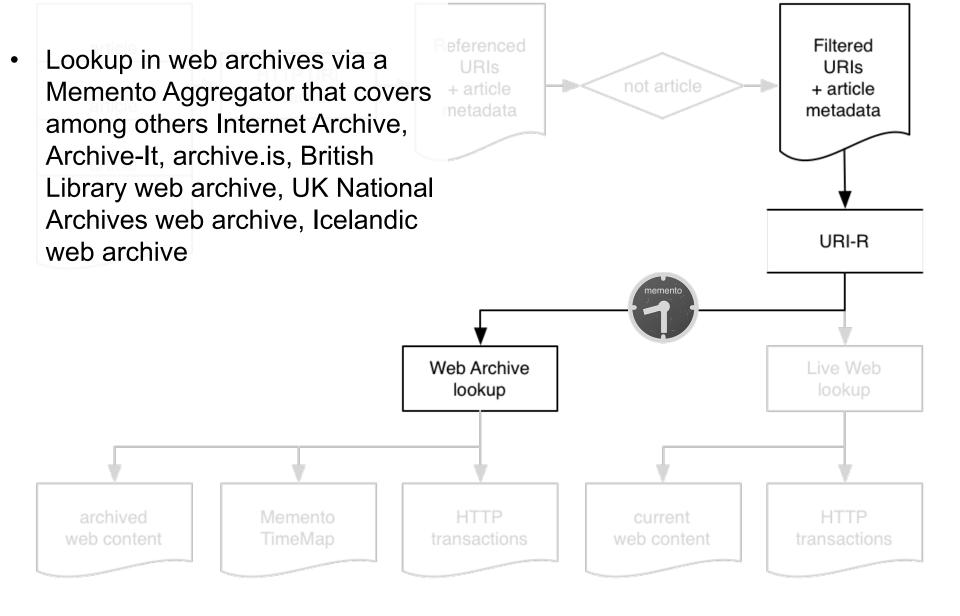










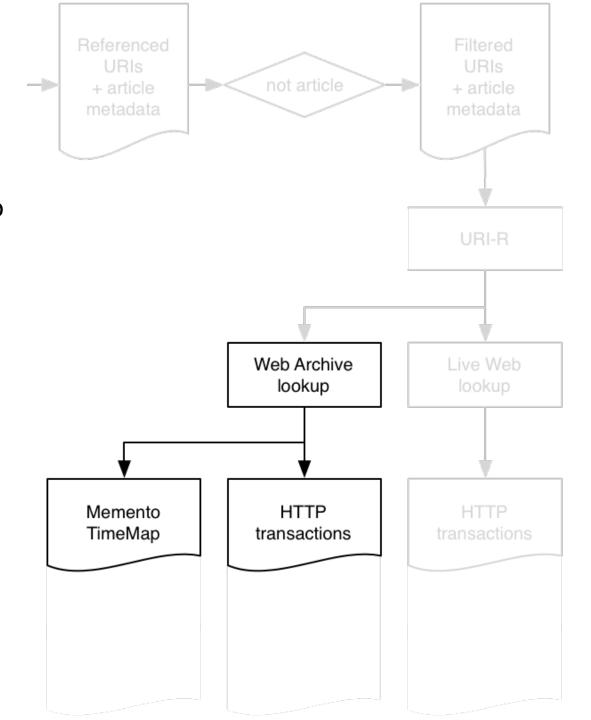


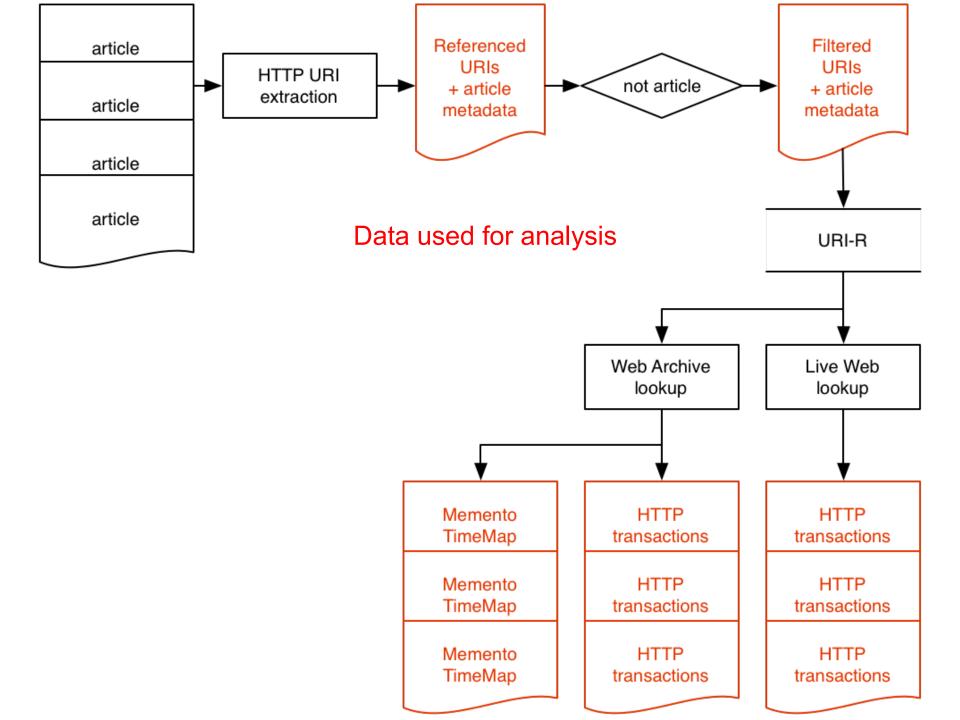
- Obtain TimeMap per URI
- If TimeMap does not exist:

#### !Archived

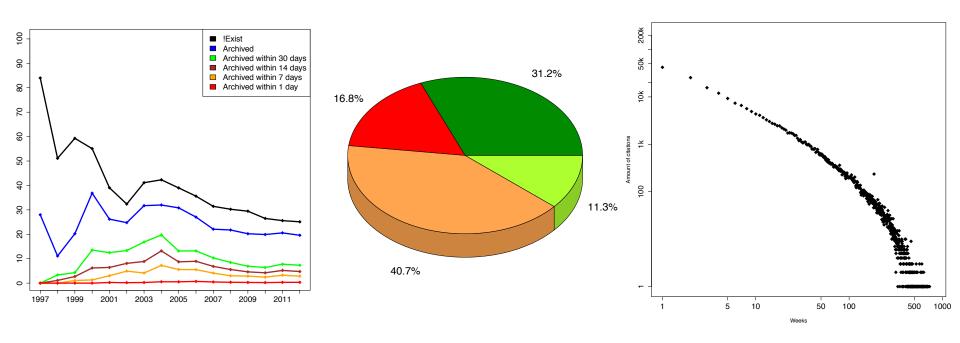
- If TimeMap exists, select Memento URI-M closest to article publication date
- HTTP HEAD on URI-M
- Follow archived redirects up to a maximum of 50
- Record HTTP transaction chain
- If HTTP transaction chain ends 2XX: Archived
- If HTTP transaction chain does not end with 2XX:

!Archived





## Quantifying Reference Rot – Early Results









## Study: PubMed Central Corpus 01/1997 - 12/2012

• Articles processed: 494,785

Articles that contain Web at Large URIs: 176,527

References to Web at Large URIs: 557,432

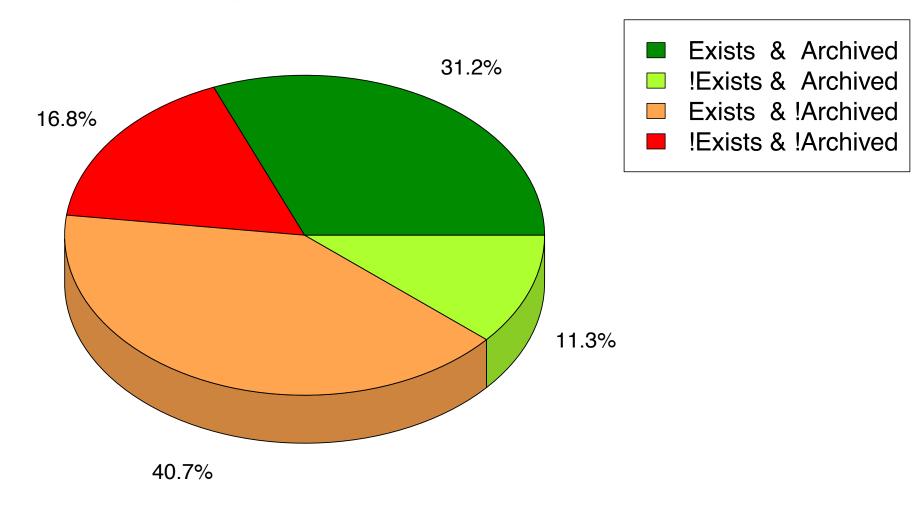
Unique referenced Web at Large URIs: 327,782







## Percentage Exists & Archived Referenced URIs

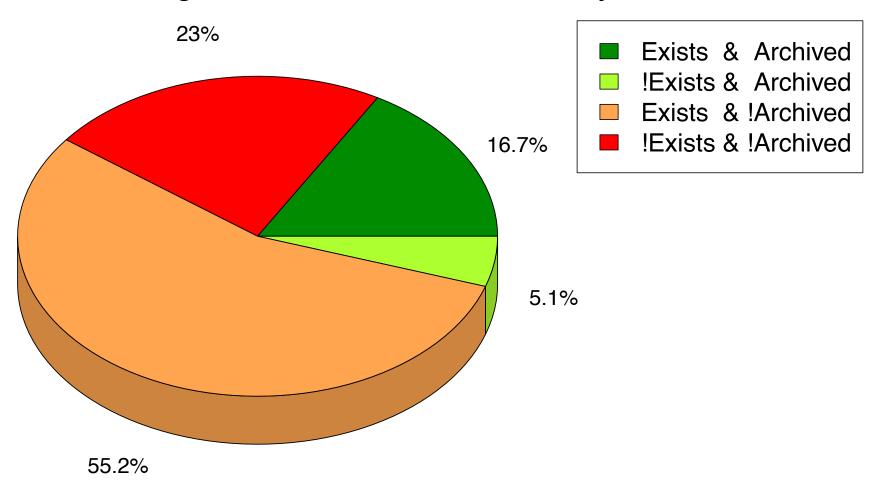








## Percentage Exists & Archived in 30 Day Window

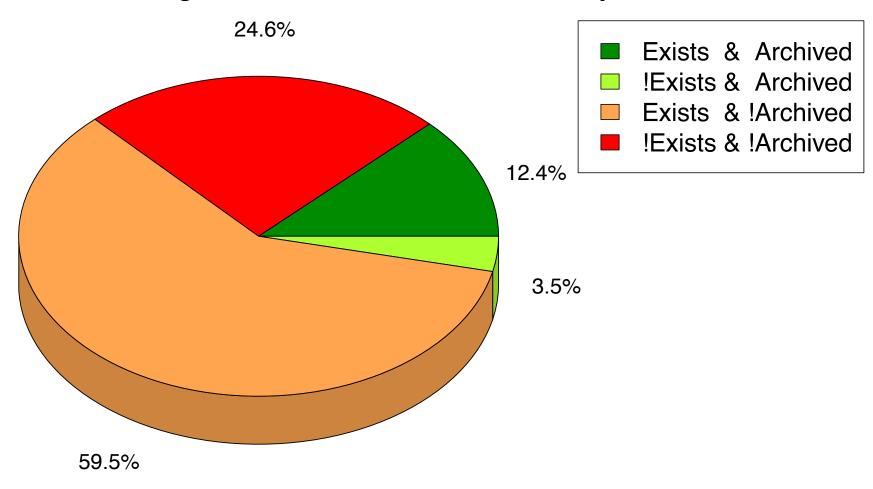








## Percentage Exists & Archived in 15 Day Window

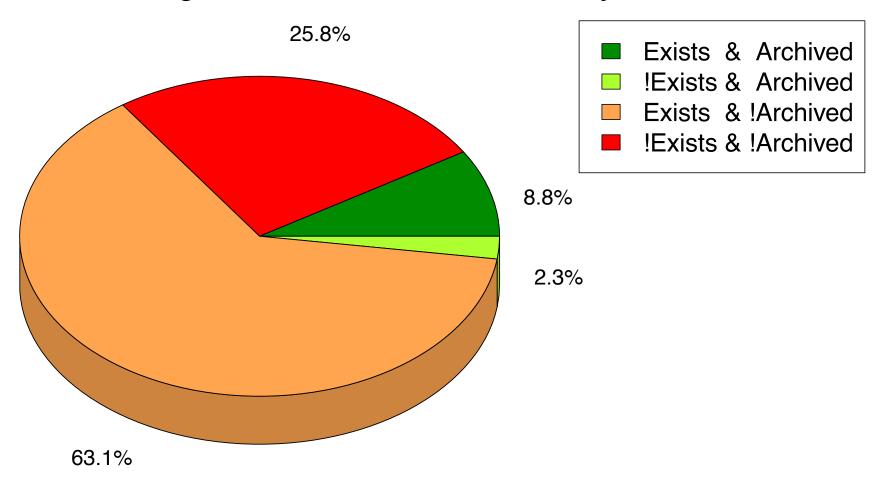








## Percentage Exists & Archived in 07 Day Window

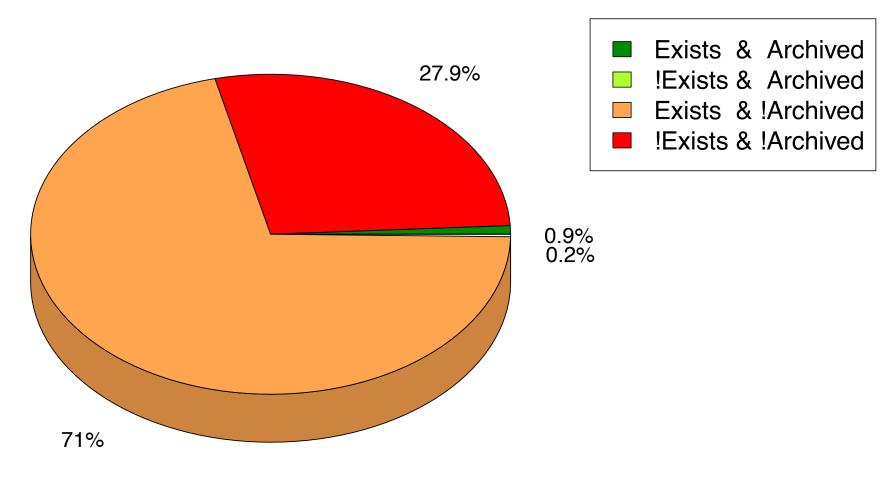








## Percentage Exists & Archived in 01 Day Window

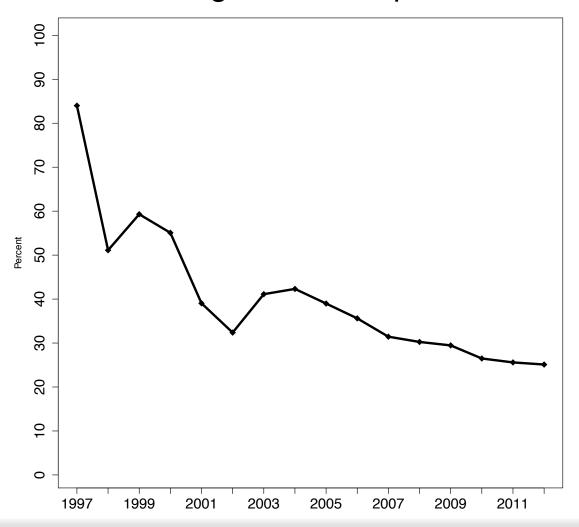








# Percentage of !Exists per Year



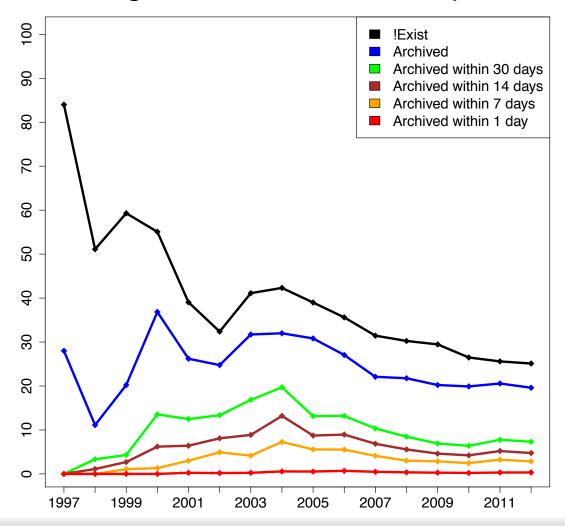
URIs extracted from PubMed papers – links to Web at Large resources







# Percentage of !Exists, Archived per Year



URIs extracted from PubMed papers – links to Web at Large resources









# Solving Reference Rot







# References in Web-Based Scholarly Communication

	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	-
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	







# **Addressing Content Decay**

- Aim for a more pro-active approach to collect snapshots of web resources (likely to be) referenced in scholarly communication
- A system that hosts resources that are likely to be referenced in scholarly communication can create snapshots of itself by:
  - Using CMS, wikis, datawikis with solid versioning mechanisms
  - Subscribing to on-demand self web archiving service
  - Using transactional web archives, cf. SiteStory
- Referenced resources can be web archived on-demand:
  - By authors during note taking, authoring
  - By platforms involved in the publication process, e.g. archiving linked resources at the time of manuscript submission







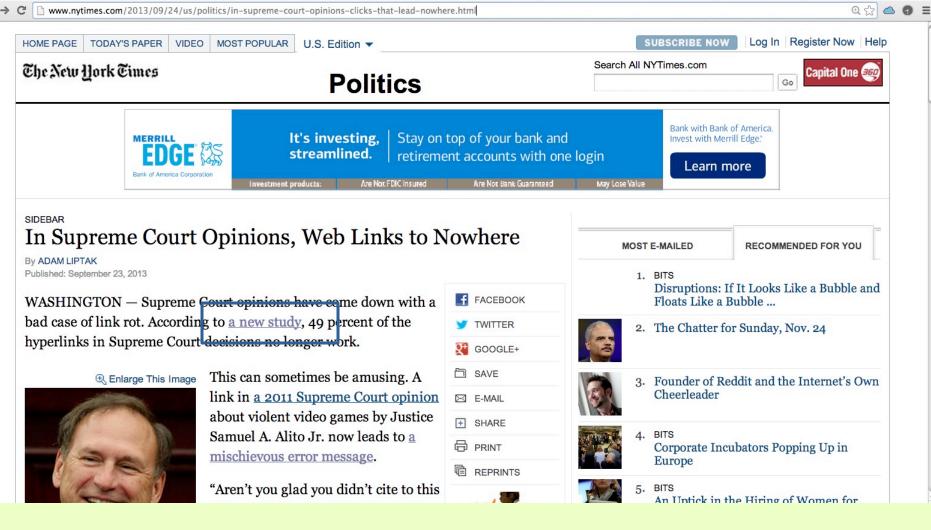
# References in Web-Based Scholarly Communication

	To Scholarly Resources	To Web at Large Resources
Link Rot	DOI, HTTP version of DOI	
<b>Content Decay</b>	Fixity of content	_
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	Web archiving Content Versioning Systems Self archiving







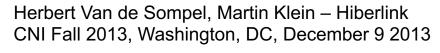


Click link to blog post

http://blogs.law.harvard.edu/futureoftheinternet/2013/09/22/perma/









# THE FUTURE OF THE INTERNET AND HOW TO STOP IT JONATHAN ZITTRAIN

Home **About** Blog News **Events** Media Video Glossary Contact **Downlo** 

# Perma: Scoping and addressing the problem of "link

rot"

September 22nd, 2013 | by z | Published in Future of the Internet | 23 Comments

Kendra Albert, Larry Lessig and I are finishing up a study of link rot, available at http://papers.ssrn.com/abstract=2329161. Link rot is the phenomenon by which material we link to on the distributed Web vanishes or changes beyond recognition over time. (Wiki discusses link rot here.) This is a particular problem for academic scholarship, which is increasingly linking out to the Web rather than more formal, library-curated sources. That kind of linking makes clear sense, but having materials easily accessible right until they vanish means that academic work (government documents, such as judicial opinions) can end up

# Blog

Manager Positions for In Berkman Center for Inter The HLS Library, in conju

Berkman Center for Inter

■ New Harvard Law Schoo

pleased to announce two positions. The newly pos (LT) Project Manager wil-

Receive page

http://blogs.law.harvard.edu/futureoftheinternet/2013/09/22/perma/









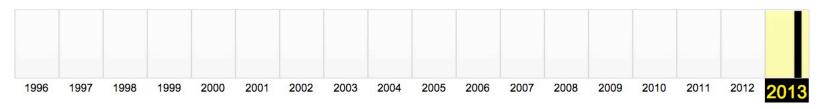


http://blogs.law.harvard.edu/futureoftheinternet/20:

**BROWSE HISTORY** 

http://blogs.law.harvard.edu/futureoftheinternet/2013/09/22/perma/ Saved 2 times between September 24, 2013 and October 7, 2013.

PLEASE DONATE TODAY. Your generosity preserves knowledge for future generations. Thank you.



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6	7	8	9	10	11	12	3	4	5	6	7	8	9		3	4	5	6	7	8	9		7	8	9	10	11	12	13
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20	21	22	23	24	25	26	17	18	19	20	21	22	23		17	18	19	20	21	22	23	2	1	22	23	24	25	26	27
27	28	29	30	31			24	25	26	27	28				24	25	26	27	28	29	30	2	8	29	30				

Search and find Mementos in Internet Archive for http://blogs.law.harvard.edu/futureoftheinternet/2013/09/22/perma/





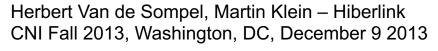


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24 Nov 2013 22:17





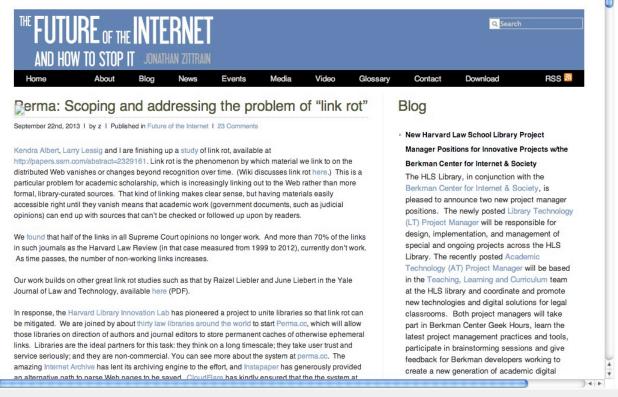
Click perma.cc link to Memento of blog post http://perma.cc/0Hg62eLdZ3T







Live site view
Screen capture
Archived Site
Archived text



Built & Bun by Libraries

Receive Memento from perma.cc http://perma.cc/0Hg62eLdZ3T







# Wayback Machine

http://perma.cc/0Hg62eLdZ3T

Latest

Show All

Hrm.

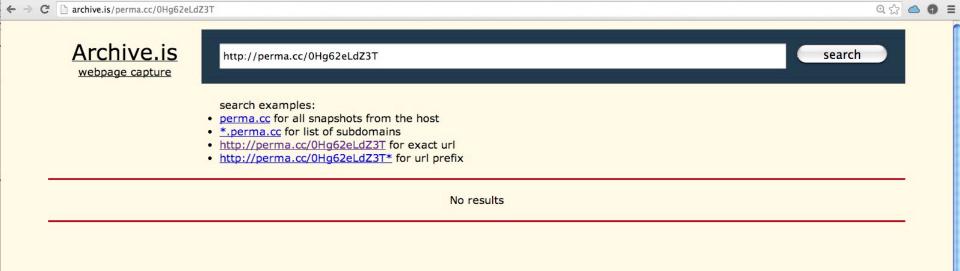
Wayback Machine doesn't have that page archived.

Search and do not find Mementos in Internet Archive for http://perma.cc/0Hg62eLdZ3T





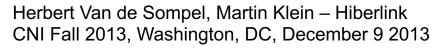




Search and do not find Mementos in archive.is for http://perma.cc/0Hg62eLdZ3T









## What Happened?

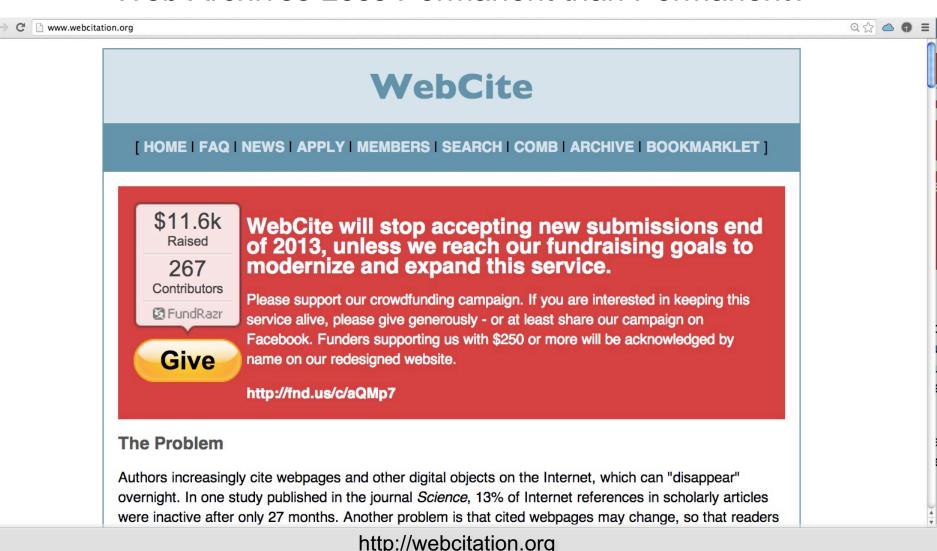
- Good news: The number of archived copies of the blog post was increased by pro-actively creating a Memento in perma.cc
- Bad news: The possibility of finding Mementos for the blog post in other web archives was undermined by replacing the Original URI-R with the Memento URI-M
  - The Memento URI-M is a key in only one archive
  - The Original URI-R is a key in all web archives
- Using the Memento URI-M in a link requires the permanent existence/uptime of the archive that issued it
- One link rot problem was replaced by another ...





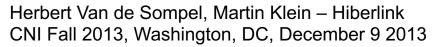


#### Web Archives Less Permanent than Permanent?











#### Web Archives Less Permanent than Permanent?

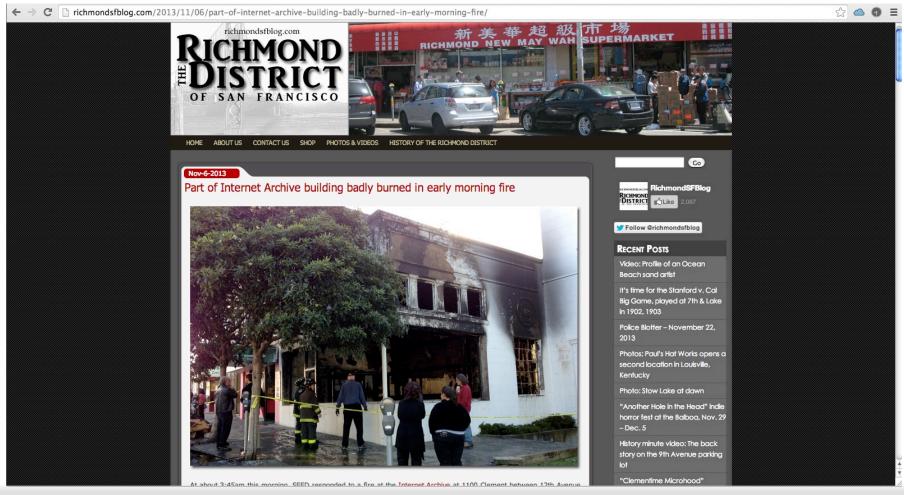








#### Web Archives Less Permanent than Permanent?



http://richmondsfblog.com/2013/11/06/part-of-internet-archive-building-badly-burned-in-early-morning-fire/







#### What To Do?

- Need an approach for referencing archived resources that supports lookups in many web archives, not just one
- Since the Original URI-R is a key in all web archives, the linking approach needs to necessarily include it
- Hence, two URIs are required:
  - The Original URI-R
  - The Memento URI-M, e.g. the perma.cc URI
- But a link in HTML only carries one URI!
  - It is understandable that the Memento URI-M is used for the link: the approach works with existing web infrastructure
  - Yet, an approach to address link rot that itself is subject to link rot is ... err... problematic







# The Missing Link Proposal

- Extend the link to the Original URI-R with temporal context:
  - Memento URI-M in a specific archive
  - Dates:
    - date of page that contains the link
    - date of the link, cf. "accessed at" in citations of web resources
- Provide the Original URI-R and the temporal context in a machine-actionable manner so it can be used by user and machine agents to retrieve Mementos from various web archives

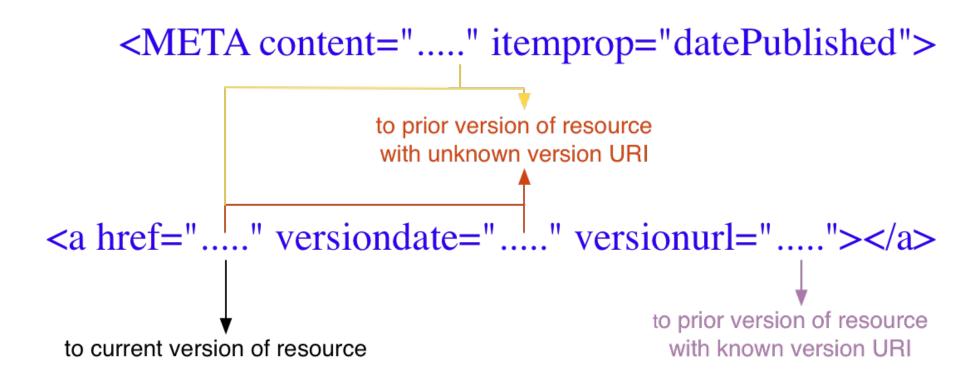
http://mementoweb.org/missing-link/







# The Missing Link Proposal



http://mementoweb.org/missing-link/







# How to Make Missing Link Happen?

- The existing approach works out of the box but is problematic
- Missing Link requires infrastructure changes but generally contributes to increased web persistence:
  - HTML
    - META for page date: no problem, already in use
    - Attributes for <a> to convey URI-M and link date:
      - data- extensibility mechanism in HTML5 can be used but is not intended for cross-site applications
      - In 1995, HTML had the URN attribute for <a> as a means to address web persistence concerns
  - Browser, tool support







# References in Web-Based Scholarly Communication

	To Scholarly Resources	To Web at Large Resources					
Link Rot	DOI, HTTP version of DOI	Missing Link proposal					
<b>Content Decay</b>	Fixity of content	_					
	Archiving: CLoCKSS, LoCKSS, Portico, Keepers Registry,	Web archiving Content Versioning Systems Self archiving					







# Demo: Application Using Temporal Context for Links













Investigating Reference Rot in Web-Based Scholarly Communication

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http://hiberlink.org #hiberlink http://mementoweb.org #memento

Hiberlink is funded by the Andrew W. Mellon Foundation

# Demo: Application Using Temporal Context for Links











# Application Using Temporal Context for Links

- Memento for Chrome is an application that uses Original URI-R and dates to access Mementos in various web archives
  - Memento around the date selected in user interface calendar
  - Most recently archived Memento







#### Memento Time Travel for Chrome



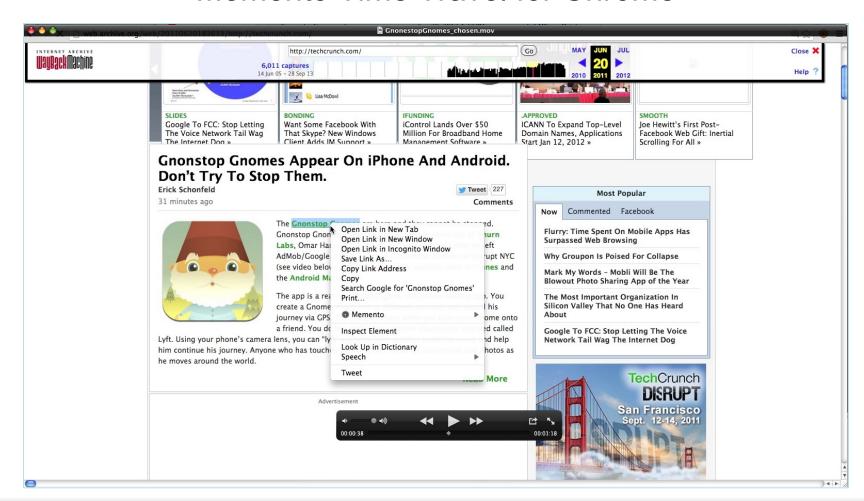
#### http://bit.ly/memento-for-chrome







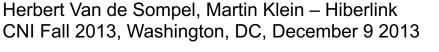
#### Memento Time Travel for Chrome



http://www.youtube.com/watch?v=0\_70IQPOOIg http://www.youtube.com/watch?v=WtZHKeFwjzk









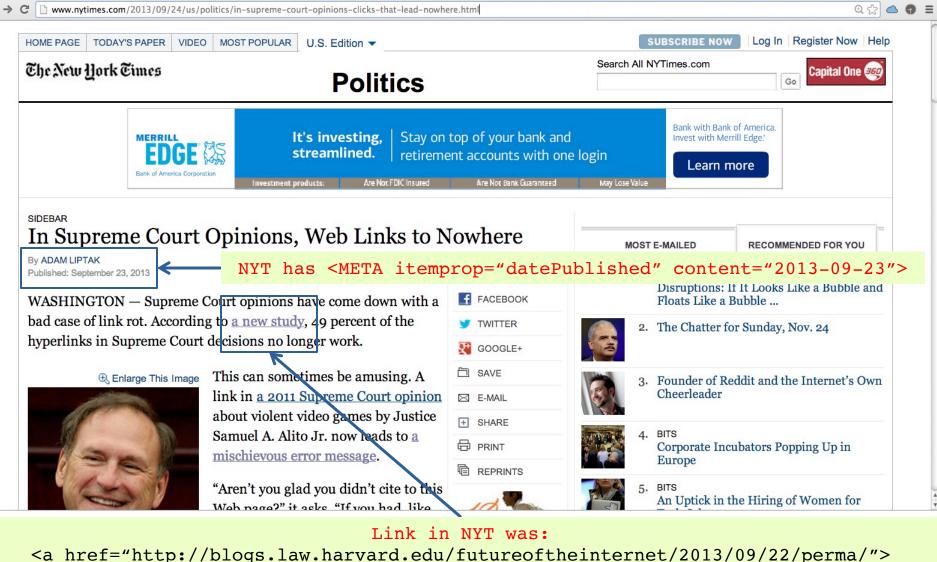
## Application Using Temporal Context for Links

- An <u>experimental</u> version of Memento for Chrome also uses
   Missing Link information (Original URI-R, URI-M, and dates) to
   access Mementos in various web archives:
  - Memento around the date selected in user interface calendar
  - Most recently archived Memento
  - Memento around the date of the page that contains the link
  - Memento around the date of the link
  - Memento URI-M in a specific archive
- A Memento client is just one example of an application that can use temporal context provided for links. Other applications, including search engines, can use it too







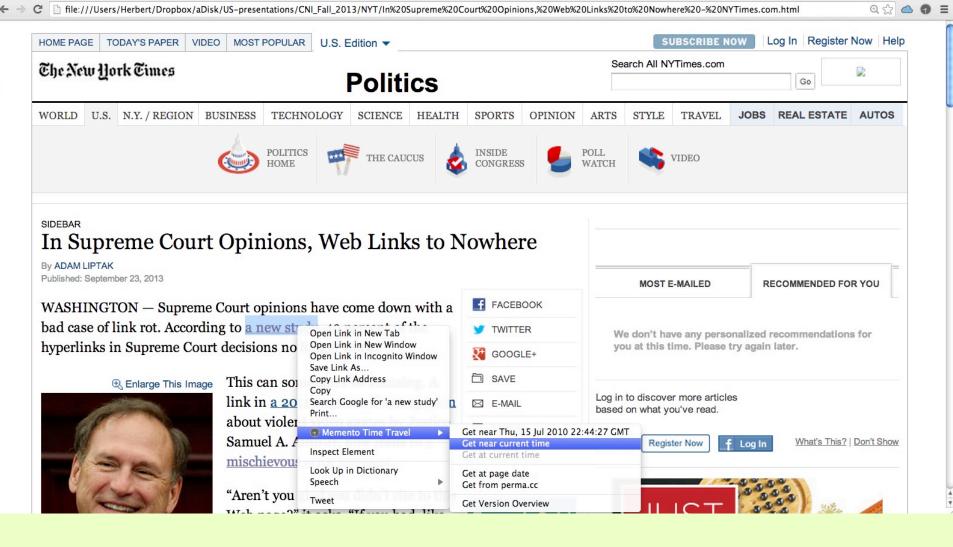


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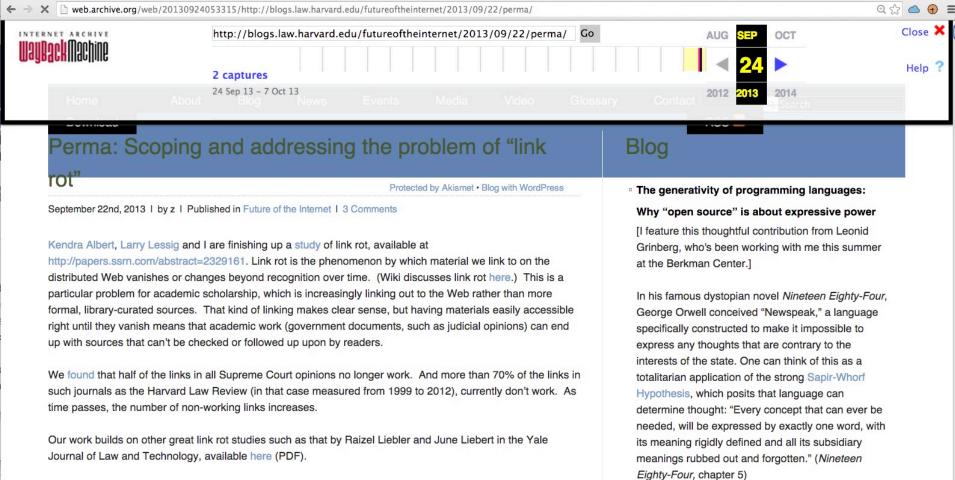
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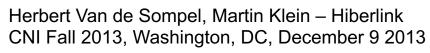
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"It's a half measure to put a piece of paper in a court file," she said. "This is the Supreme Court, and it's their responsibility to make these things available."

The United States Court of Appeals for the Ninth Circuit, in San Francisco, could serve as a model. It maintains an electronic archive of what it calls "webcites" in the PDF format.

Professor Zittrain and his colleagues are at work on a more ambitious solution, Perma.cc, a platform built and run by a consortium of law libraries. It allows writers and editors to capture and fix transient information on the Web with a new, permanent link.

The project is initially focused on legal scholarship. And there is no reason, Projectsor Zittrain said, why it could not also work for the Supreme Court.

A version of this article appears in print on September 24, 2013, on page A13 of the New York edition with the headline: In Supreme Court Opinions, Web Links to Nowhere.

















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## Perma: Scoping and addressing the problem of "link"

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September 22nd, 2013 by z | Published in Future of the Internet | 23 Comments

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### Link rot

From Wikipedia, the free encyclopedia

For broken links in Wikipedia, see Wikipedia:Linkrot, Wikipedia:Using the Wayback Machine, and Special:BrokenRedirects.

Link rot (or linkrot), also known as link death or link breaking, is an informal term for the process by which hyperlinks (either on individual websites or the Internet in general) point to web pages, servers or other resources that have become permanently unavailable. The phrase also describes the effects of failing to update out-of-date web pages that clutter search engine results. A link that does not work any more is called a broken link, dead link or dangling link.

#### Contents [hide]

- 1 Causes
- 2 Prevalence
- 3 Discovering
- 4 Combating
  - 4.1 Server side
  - 4.2 User side
  - 4.3 Web archiving
  - 4.4 Authors citing URLs

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- 10. ^ "Remember everything with Evernote, Skitch and our other great apps" ☑. Evernote, Retrieved 2013-10-07.
- 11. A "Internet Archive: Digital Library of Free Books, Movies, Music & Wayback Machine" & Archive.org. 2001-03-10. Retrieved 2013-10-07.

#### External links rediti

- Future-Proofing Your URIs ☑
- 1998.



The Wikibook Authoring Webpages has a page on the topic of: Preventing link rot

- mod\_brokenlink Apache module that reports broken links.
- Perma.cc @ Perma.cc archives a copy of the referenced content, and generates a link to an unalterable hosted instance of the site.

Categories: Uniform resource locator Data quality

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#### Link rot

From Wikipedia, the free encyclopedia

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For broken links in Wikipedia, see Wikipedia:Linkrot, Wikipedia:Using the Wayback Machine, and Special:BrokenRedirects.

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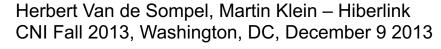
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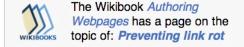




- 8. A 404-Error ? :: Add-ons for Firefox
- 10. A evernote.com
- 11. ^ archive.org 译

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- Future-Proofing Your URIs ☑
- 1998.



- W3C Link Checker ☑
- mod\_brokenlink Apache module that reports broken links.

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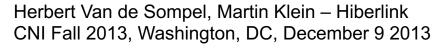
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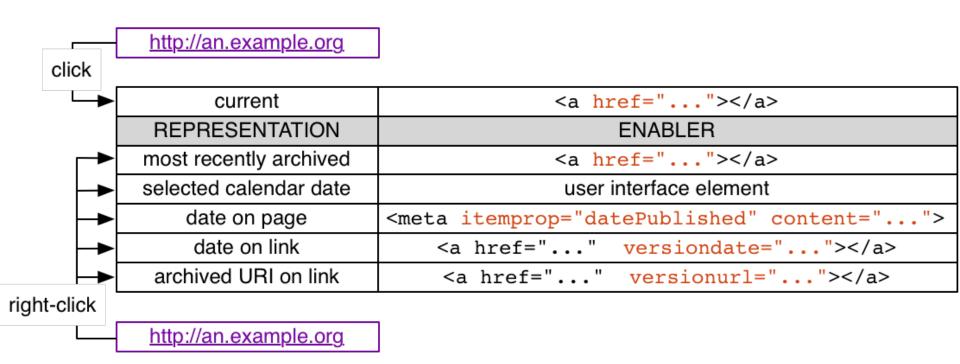
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